

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas J. Daley, et al.

Serial No.: 10/767,699

Filing Date: January 29, 2004

Art Unit: 3624

Confirmation No.: 5670

Examiner: Unknown

Title: *SYSTEM AND METHOD FOR CONTROLLING
THE DISCLOSURE OF A TRADING ORDER*

Mail Stop Amendment

Commissioner of Patents

PO Box 1450

Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of all references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art.

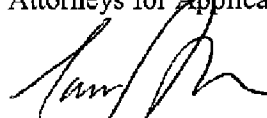
The items contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

REMARKS

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/ mailing** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.
Attorneys for Applicants



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Date: April 9, 2007

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PTO-1449 Information Disclosure Citation In an Application	Application No. 10/767,699	Applicant(s) Thomas J. Daley, et al.	
	Docket Number 069547.0174	Group Art Unit 3624	Filing Date January 29, 2004

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/BKS/	K	WO 01/77946 A1	10/18/2001	PCT	G06F	17/60		
	L							
	M							
	N							
	O							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
/BKS/	P	European Patent Office Communication for Application No 05712564.3 - 1238; 3 pages.	01/08/2007
	Q		
	R		

EXAMINER

/Bijendra K. Shrestha/

DATE CONSIDERED

12/20/2010

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE